



FIN 3315
Investments
CRN: 15744
Course credits: 3
TR 6:00 pm - 7:20 pm
Fall 2021

Professor:

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Office location: BSN 232
Office hours: MW 1-2:20 pm

Course Prerequisite(s): FIN 3310

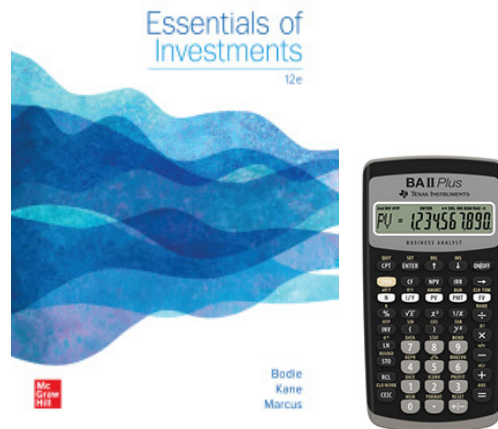
Course Description: This course is a comprehensive study of investment analysis and portfolio management. The course introduces the theories of managing a portfolio of financial assets within the risk - return framework. The course covers the allocation process of different asset classes into efficient portfolios considering the investment criteria. In this course, various security analysis techniques and valuation models are discussed.

Learning Objectives: You will learn to understand how and when to apply different valuation techniques of financial analysis, demonstrated through your ability to:

- Compile, analyze and interpret financial data related to the current investment environment for the market and publicly traded companies
- Analyze, value, and make recommendations for various securities
- Perform financial modeling to evaluate investments and risk
- Using different techniques to manage portfolios

Course Materials:

- **Required Text:** *Essentials of Investments*, 12th Edition
Authors: Bodie, Kane, and Marcus [with Connect access code]
McGraw-Hill Connect is an online software that allows students to submit homework assignments and check their work online. Access to McGraw-Hill Connect is required for this course. Explore [Purchase Options](#) here.
- **McGraw Hill Connect:** Once your access is activated, you can access your Connect through Blackboard. Please use only one Connect account so that your grades can be synced from Connect to Blackboard properly.



- **Calculator:** You will need a calculator in order to do quantitative problems. Any model is sufficient. For your reference, I will use the model *Texas Instruments BAI1 PLUS* throughout the course. During exams, you are allowed to use any calculator that you prefer except for (i) calculators that reside on smart phones,(ii) any calculator that has wireless access to the internet, and (iii) graphing calculators.
- **Excel on PC/Laptop:** A desktop/laptop with Excel is required. All enrolled UTEP students can download Microsoft Office for free on PC and/or Mac. For more information, visit <https://www.utep.edu/technologysupport> for UTEP students.
- **iClicker:** You are required to bring a device to participate in iClicker sessions during class. You can use a smartphone, tablet, or laptop. It is your responsibility to set up your iClicker account in a timely fashion, as well as making sure your device is working properly. If you do not have an existing iClicker student account, you will need to create one to be able to participate in class. Please use only one iClicker account for the class (UTEP email address is preferred). During these sessions, you will receive points for your participation and performance. iClicker is free to UTEP students (You do not have to purchase a subscription for iClicker).

Basis for Final Grade: The overall course grade is the weighted score of the following components:

iClicker Quizzes/Participation	10%
Excel	10%
Homework	20%
Exam 1	20%
Exam 2	20%
Final Exam	20%

1. **Quizzes:** There will be multiple in-class iClicker quizzes throughout the semester. You are expected to be in class to submit quizzes. You will receive points for both participation and performance.
2. **Excel Submissions:** There are assignments covering techniques and applications in Excel. You will then need to perform relevant tasks. Please make sure that the file you submit is

the correct file. Submit only Excel file. All non-readable, and files with different extensions will be marked 0. If you accidentally submit the wrong file, you can resubmit by selecting the correct file (before the due date). Excuses such as submitting the wrong file, submitting the blank file, etc will NOT be accepted.

3. **McGraw-Hill Connect Homework:** The homework assignments aim to help you understand the theories covered in the class and to prepare you for the exams. Students are required to complete these on McGraw-Hill Connect.

4. **Exams:**

- All exams are closed book and notes. It is your responsibility to ensure that you can take the exams at the scheduled time. Missing an exam will result in a 0 for the exam. The only valid excuses are religious observance or a severe health issue documented and signed by a doctor.
- Students are allowed to use a calculator during exams. A standard formula sheet will be provided for each exam.
- Each exam contains 25 multiple choice questions. Prior to each exam, a review session will be provided.

Letter Grade Distribution: At the end of the semester, you will receive a letter grade for the course. The letter grade distribution is as follows:

90.00 - 100.00	A
80.00 - 89.99	B
70.00 - 79.99	C
60.00 - 69.99	D
00.00 - 59.99	F

Feedback Policy & Grade Dissemination: I will respond to email communication relevant to the subject matter within 48 hours of the date received. I will provide feedback on assignments within two weeks of the posted deadline. Graded exams and materials in this course will be reviewed individually only by request. You can access your scores at any time using “Assessment Center” in Blackboard.

Course Policies:

- Check your Blackboard frequently for course materials and announcements. Blackboard will be the main communication platform for the class.
- **No late assignments will be accepted.** Late/missing assignment will result in 0 for the assignment.

University policies:

- **Withdrawal Policy:** A student may officially withdraw from this class with an automatic W at any time BEFORE Oct 30. No withdrawals will be allowed after this date. Automatic withdrawals will NOT be made by the instructor. To be withdrawn from the class, students must take the appropriate actions on or before the university deadline.

- **Academic Integrity of Students:** The University of Texas at El Paso (UTEP) prides itself on its standards of academic excellence. In all matters of intellectual pursuit, UTEP students must strive to achieve excellence based on the quality of work produced by the individual. In the classroom and in all other academic activities, students are expected to uphold the highest standards of academic integrity. Any form of scholastic dishonesty is an affront to the pursuit of knowledge and jeopardizes the quality of the degree awarded to all graduates of UTEP. It is imperative, therefore, that the members of this academic community understand the regulations pertaining to academic integrity and that all faculty members insist on adherence to these standards. Any student who commits an act of scholastic dishonesty is subject to discipline. Scholastic dishonesty includes, but is not limited to, cheating, plagiarism, collusion, the submission for credit of any work or materials that are attributable in whole or in part to another person, taking an examination for another person, any act designed to give unfair advantage to a student or the attempt to commit such acts. Proven violations of the detailed regulations, as printed in the Handbook of Operating Procedures (HOP), and available in the Office of the Dean of Students, and the homepage of The Dean of Students (DOS) at <http://www.utep.edu>, may result in sanctions ranging from disciplinary probation, to failing grade on the work in question, to a failing grade in the course, to suspension or dismissal, among others.

Course Support:

- **Academic Accommodations:** If you have a disability and need accommodations, please contact The Center for Accommodations and Support Services (CASS) at 915-747-5148, or by email to cass@utep.edu, or visit their office located in UTEP Union East, Room 106. For additional information, please visit the CASS website at <http://www.utep.edu/student-affairs/cass/>
- **Blackboard Technical Support:** If you have technical difficulties in Blackboard, you can find access to the Blackboard guides and video resources in the UTEP Technology Support webpage at <https://www.utep.edu/technologysupport/>. You can also contact the help desk by calling 915-747-4357 (HELP) or emailing helpdesk@utep.edu.

Tentative Course Outline:

All homework assignments for the chapter is due Midnight (11:59 PM) on **Mondays**. For example, Chapter 3 homework assignments are due 11:59 PM Monday, August 30.

Week	Content
Week 1	<ul style="list-style-type: none">• Aug 24 : Chapter 3• Aug 26: Chapter 3
Week 2	<ul style="list-style-type: none">• Aug 31: Chapter 5• Sep 2: Chapter 5
Week 3	<ul style="list-style-type: none">• Sep 7: Chapter 6• Sep 9: Chapter 6
Week 4	<ul style="list-style-type: none">• Sep 14: Chapter 7• Sep 16: Chapter 7
Week 5	<ul style="list-style-type: none">• Sep 21: Review• Sep 23: Exam 1
Week 6	<ul style="list-style-type: none">• Sep 28: Chapter 10• Sep 30: Chapter 10
Week 7	<ul style="list-style-type: none">• Oct 5: Chapter 11• Oct 7: Chapter 11
Week 8	<ul style="list-style-type: none">• Oct 12: Chapter 13• Oct 14: Chapter 13
Week 9	<ul style="list-style-type: none">• Oct 19: Chapter 4• Oct 21: No Class (Department service)
Week 10	<ul style="list-style-type: none">• Oct 26: Review• Oct 28: Exam 2
Week 11	<ul style="list-style-type: none">• Nov 2: Chapter 15• Nov 4: Chapter 15
Week 12	<ul style="list-style-type: none">• Nov 9: Chapter 16• Nov 11: Chapter 16
Week 13	<ul style="list-style-type: none">• Nov 16: Chapter 18• Nov 18: Chapter 18
Week 14	<ul style="list-style-type: none">• Nov 23: Chapter 21• Nov 25: No class (Thanksgiving)
Week 15	<ul style="list-style-type: none">• Nov 30: Chapter 21• Dec 2: Review
Final week	<ul style="list-style-type: none">• TBA : Final exam